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7103/149

DATE: 5 December 1996

FROM: Burton G. Hurdle (Code 7103)

SUBJECT: REVIEW OF REF. (a) FOR DECLASSIFICATION

TO: Code 1221.1

VIA: Code 7100

REF: (a) NRL Confidential Report #6224 by A.J. Hiller, 6 May 1965

- 1. Reference (a) is a discussion of the utilization of oceanographic instrumentation and measurements employed in an integrated manner in the detection of passing submarines in the ocean.
- 2. The technology and equipment of reference (a) have long been superseded. The current value of this report is historical.
- 3. Based on the above, it is recommended that reference (a) be declassified and released with no restrictions.

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Oceanographic Instruments as a Basis of Submarine Detection and Classification Systems

[UNCLASSIFIED TITLE]

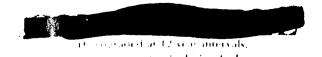
A. J. HILLER

Techniques Branch
Sound Division

May 6, 1965



U.S. NAVAL RESEARCH LABORATORY Washington, D.C.



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Submarine Detection and Classification Systems

[Unclassified Title]

A. J. HILLER

Techniques Branch
Sound Division

The transicol a submerged submarine subjects the ocean environment to many subtle changes, During the past several vears specialized in situ oceanographic instrumentation has been designed to sense these physical, chemical, and biological modifications of the ocean. The concept of interdisciplinary research, aimed toward obtaining a broad spectrum of knowledge piror to hardware development, is considered the key to future systems reality. Laboratory research results show the main facets of the problem and the general usefulness of the ocean as a ready-made complex easily changed by a transiting submarine. The submarine-generated energy transfers, accounts and hydrodynamic, are detectable by simple optical instruments. The results of several field operations have demonstrated the performance of turbidimetric and colorimetric sensors used for wake senuing of a submerged submarine during recent field operations in the Florida Straits. Emphasis on submarine detection and classification systems suggests useful comparisons to conventional acoustic signal processing, where the ocean-environment noise for a given parameter, such as turbidity, is treated in terms of handwidths, sampling time, resolution, detection, fabe-alarm probability, and ugnal-to-muse

INTRODUCTION

Military oceanography is usually thought of as a supplemental science supporting the ASW roles of detection, localization, classification, and attack. Oceanographic data are principally used to predict performance of acoustic systems and establish factical doctrine. It is therefore logical to study carefully the operating environment, when the system used is sensitive to the environment. It also is logical to view the environment as being sensitive to the submarine, the object of all ASW.

This viewpoint is not new, and early researchers devised systems based on physical changes to the environment induced by the submarine. These were MAD (magnetic anomaly detection), USP (underwater electric potential), and more recently, mass transport. The latter system assumed a transport of warm water to the surface, where a remote intrared sensor could be used

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Now I have request or have design a praise prevented that T. 1986, at the T. portion to exceed one the acting replies. Instrumentation from Middata to a the control of the force. Which had become on the action absorbers also were the control of the marketing groups on certains this instrumentation of the action of the marketing groups on certains this the the Ministerial of the marketing of the modern and the problems that the Ministerial of the control of the contro

for detection. Systems were successfully built and thermal wakes were detected, but the results obtained did not bear out the assumptions. Today, after more than two decades, we are still learning how the ocean environment is modified by the submarine, and intensive research is continuing to explain the thermal wakes which are being observed. It is fortunate that this scientific challenge resulted, for a coordinated interdisciplinary research effort is now reading the true complexity of the ocean and yielding basic knowledge on which new ASW systems can be based and the performance of old ones better explained (see Bibliography).

DETECTION CONSIDERATIONS

Oceanographic instruments, as a basis for submarine detection and classification, are dependent on a redistribution of sea-water components or changes in the sea water itself, caused by an energy transfer from the submarine to the ocean medium. The major energy transfer is usually hydrodynamic. This effect manifests is elf in the turbulent wake and mass effects related to densits gradients. Schooley* has demonstrated by the

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Benja Sufuno (gref etc.), Philol Work a berrical Proposition of colorid. If Philol State ENGL BRIGHT Colorida.



3. a model the Stewart-Hickman collapsing s so when a density gradient is present, and see at spreading without the gradient. Both cases result in a redistribution of sea components. In corrain cases, the effect of the generated wake reaches the surface, where it can be detected by occanographic instruments.

The following equation shows the relation between surface tension, temperature, chlorinity, and a general term labeled "impurities."*

Surface Tension = 75.64 - 0.144t + 0.0399 Cl - Kwhere

> t is temperature in degrees Centigrade Cl is chlorinity

K represents impurities.

Surface tension is a prime factor in submarineinduced surface effects, and intensive research has been conducted on surface films related to capillary-wave damping and evaporation rates. It is known that very small changes in surface tension can greatly modify the surface as seen by an intrared or high-resolution-radar sensor, but little attention has been devoted to the "imparities" factor, t Since the particulate material in the sea can be measured with relatively simple and highly sensitive turbidimetric instruments, NRI, research has been concentrated in this area. NRI, objectives are to obtain basic information on how the submarine medifies the distribution of particulate matter and to use this information to drugg wake-detection and submarine-classificatum systems.1

"HIT Servicing, M.W. Johnson, and R.H. Fleming, "The Chrone, Their Physics, Chemistry, and General Reslings," New York Prenture-1142 ن الما1

and a second the greater the communities and manage parameter (few few manage

If it is assumed that the ocean is modified by the submarine and that this modification is retained for a period of time, the ASW usefulness of different systems can be evaluated. This of course assumes that knowledge of system noise, ocean noise, signal strength, and type of sensing plactorm is available. The "noise" of an oceanographic instrument is the time variation of its output for a fixed input, and the 'system noise" is the time variation of its output as the actual oceanographic parameter is being measured in the ocean. This of course implies spatial and time factors which may be considered similar to sample size and band width in a conventional electrical sysicin.

TRAIL DETECTION

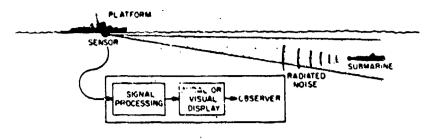
In order to illustrate some of the factors of oceanographic ASW systems, one system based on the measurement of turbidity by continuous flow analysis of water received from a pickup unit mounted on a ship platform is compared to a passive acoustic system in Fig. 1. Similarities and differences are worthy of attention. The acoustic system records where the submaring is and the oceanographic system determines where the submarine has been. The acoustic system has its sensor removed from the submarine, while the other system's sensor must be directly in the trail at a point where the "menuory" of the ocean is still sufficient to give a usable signal-to-moise ratio. The signal processing in both systems in similar, and the system output is sent to an operator-interpreter or automatic analyzer. Search rates may also be compared. In one situation a point is interpreted with a search arc, and in the other a trail is intercepted with a search line (Fig. 2). The usual point target is in the second case extended to a line which n a function of the trail persistence. Surface scars have been known to last many hours, but little is known about persuserve of turbulity

A possible application of an occanographic instrument detection system is the establishment of a barrier line at a submarine egress some (Fig. 8). If a two-hour trail memory is assumed for -a given signal-to-noise ratio and false-alarm rate. a single search vehicle would be required in cover line AB in Fig. 3 every two hours. This coverage

[&]quot;Partie in use and distribution studies were reinducted in Christies 1964 and January 1965 in the Rev West operating area. The redistributtom of parenulate material units sted by the bitiable seats was estadbehind by the lameary cents, which demonstrated the particle profile statistics of the ocean from a semignest and spatial relation. This rewaste word a Cambre Country partiety use and distribution analyses ser up in a share talescapies for analysis of the camples recovered by to a square sign embase his begin

² to inquitation of 'inquirance in conditions cuitage terrians and recovering on expellers ware champing has taken on greater importance or a supplier effects' factor were recent treats with submissions alone consists that changes in the particulate consignments in the surface at Protest to the softmarrier Attalents by a Laudere Laureter shows carre that a legit posteriology counts these million pigets by in I mit and

ACOUSTIC SYSTEM



TRAIL DETECTION SYSTEM PLATFORM TRACK LEFT WATER SIGNAL SENSOR!

OCESSING

Fig. 1 - A comparison of a passive acoustic system and a trail-detection system

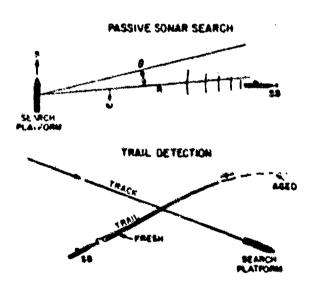


Fig. 2 - A companion of the densence of pages winar warch and trail detecting

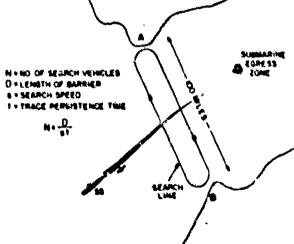


Fig. 3 - Application of trail detection to a parties search pattern

requires an average search speed of 50 knots for a ungle platform, and fractions thereof for multiple vehicle search.

ENVIRONMENTAL EFFECTS

Figure 4 dhistrates, in general terms, the complexity of the ocean environment and the muliante of changes which could occur by the intrusion of a submarine. The principal problem

one encounters when studying the ocean for changes is to determine how to make measurements without disturbing the environment During the past several years techniques have been developed which minimize or eliminate such disturbances. Some of these use radiocontrolled, air-dropped, and time-delay samplers. and sakralt and V-hu-towed water pakup devices and measuring instruments. Occanographic data have been obtained during held



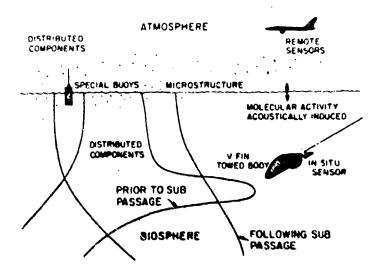


Fig. 4 — Methods of detecting the modifications of the sea environment caused by the transit of a submarine. The curves suggest the disturbances in the undersea environment related to the passage of a submarine.

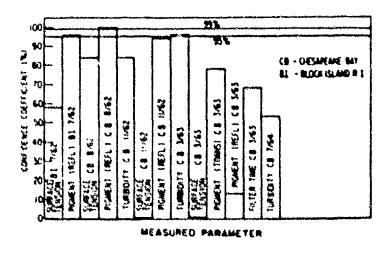


Fig. 5 — Confidence that a difference curve between wake and ambient water

operations with submarines in the Block Island, Key West, and Bermuda operating areas, and locally in the Chesapeake Bay using a small submarine, the SSX⁻¹. Turbidimetric colorimetric, and humetric data were obtained in ambient and wake waters by analysis of water samples and by in situ measurements. Figure 5 summarizes some of the salient data. The confidence levels shown give the probability that the samples are from different water populations (ambient and wake) and should not be confused with detection probability. The latter may be calculated from single sample probabilities, sample size, and talse-alarm criteria in a manner used for acoustic systems.

Figure 6 shows an interesting correlation between weather data and test results observed during NRI, tests in the Key West operating area (April 1964). The best results seem to occur when the sea surface is wind disturbed. Similar results have been reported by others using the infrared (Clinker) sensor. The overall objectives of this test senses are shown in the following but

OBJECTIVES OF KEY WEST FIELD TESTS

To study ocean-environment changes in near surface waters caused by transit of a submerged submarine using

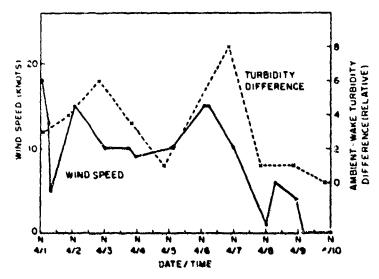


Fig. 6.— Correlation between wind speed and turbidimetric data. April 1964, Key West field (rinds. The letter N indicates monitime. The turbidimetric data (right-hand coordinate) are presented as the difference between the ambient and wake turbidity.

- Continuous-flow low-range HACH turbidimeter.
- Collected samples in ambient and disturbed water, measured in laboratory
- Membrane filters made from continuous flow in ambient and wake water
- · High-intensity-pulsed light turbelimeter
- · Meanired changes in depth probles
- Measured acoustic effects

SEA-WATER SAMPLING

The NRI, analysis of distributed sea-water components has also been extended to particulate earlichydrate, and to soluble and particulate from Wilson* has observed submarine-induced changes by analysis of surface samples taken from ambient water and water from the trail of a submerged submarine. The high sensitivity of present analytical methods makes it mandators that the sampling methods or instruments do not induce contaminants. Nansen bottles are no longer adequate for ASW oceanographs. Alligiotic indiment material samplers are required. Early work with radio samplers in which the only inetal part was a small standers steel flow salve showed a high contamination of ferric

hydroxide, which has pigment color similar to some natural pigments.

During the recent cruise of the PILLSBURY, the Miami Institute of Marine Sciences' newest research vessel, t new noncontaminating samplers were used; the oceanographic community finally realizes the importance of introduced contamination. It is also interesting to note that the PILLS-BURY research included measurements on the distribution of organic and trace components.

An instrument which measures a single occanographic parameter may be insulfacient to insure a high detection probability. NRI, water sampling has been primarily confined to the surface and near surface, because of V-fin towing limitations and a greater interest in surface effects. However, improved techniques and a new concept using laser profiling may permit higher-trail-detection specify down to submarine depth.

More sophisticated systems will probably require multiple sensors which can measure simultaneously turbidity, pigments, color, surface tension, etc., and determine detection probability by computer rechniques. It is also obvious that more occanographic data are needed from areas of strategic interest. One attempt toward this objective is being made at this time during the

^{** 19. 1.} Marinum - Norman Chinaman, rapid Chinaman in the Norman - Norman (2011 National Inch.) NRA Mexico Regul 1887 of confidences Research Conservation (2016) June 1886

^{. 18} Junes, Mandon Vistage - New Friedrich 1869: Est 171 3 .

PARTON ISLAND With the cooperation of the U.S. Navy Oceanographic Office,* Surfaces probles are being obtained in the Being Straits, and in the Chukchi and East Siberian Seas

NRL has made some measurements on the redistribution of marine bacteria caused by a transiting submarine. The Russian investigators Kriss, Lebedeva, and Mitzkevicht have utilized bacteria as indicators of hydrological phenomena while studying the Indian Ocean waters between Africa and the Antarctic and between the Antarctic and Asia (Fig. 7). NRL has made measurements using bacteria as indicators of the water redistribution by a submarine in the Bermuda area and locally in Chesapeake Bay, where distinct changes in vertical distribution were observed. The technique used was similar to that of the Russians. The water samples were filtered through membrane filters upon which the bacteria were cultured and counted. This method is, of course, not practical operationally, but new techniques using particle-size-distribution analyzers and particle selection may eventually make such a scheme useful. The explanation for the stratification of bacteria has been presented by Sisler and Senttle.‡ They theorize that bacteria, when moving in an ocean current, are carried down to a given depth by electromotive forces, as charge carries in a magnetic field.

Another class of indicators has its origin in the submarine. The submarine, which is dissolving at 'a minute rate, leaves certain metallic ions in its trail. Attempts have been made to detect zinc and copper ions by Hudson Laboratories. Using sensitive flame-absorption spectroscopy, they have successfully detected these ions in the trails of surface ships and surfaced submarines.

In the HACH turbidimeter system (Fig. 8), the water picked up by the V-fin is delivered to

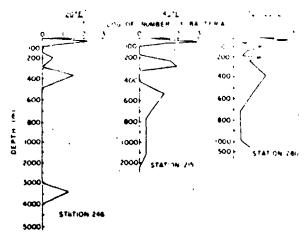


Fig. 7 — Vertical distribution of heterotrophs in the Indian Ocean between 60% and 70%, in number of bacteria per 40 mi-volume of water (Kries, Lebedeva, and Mitzkevich)

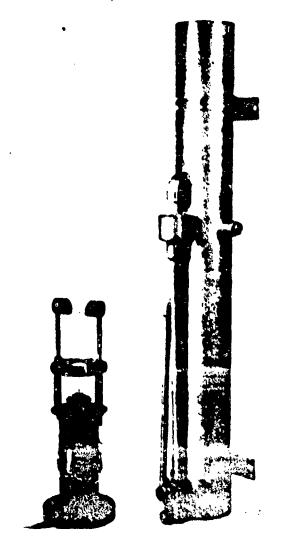


Fig. 8 HACH turbelingers

^{*}The turbelity problex from the BURTON ISLAND crime have been reserved by NRI and are now being analyzed.

^{13.5} Kriss M.S. Lebedosa, and L.S. Mitzkesich. Microsoganisms as loss atoms of Historilogical Phonomena in Seas and Oceans. H. Diepose Research & 173-193 (1980).

⁽F.D. Sicker and J.E. Sentrie. Possible Influence on the Earth's Magnetic Field in Geometobiological Processes in the Effoliosphere. Someonium on Tarine Microbiology, 1963.

^[4] P. Jahanga, S. R.R. S. Syer, and F. Linbymbo. Further Studies of Action Absorption Solubists of Wakes. Philosophilos of Colombia Conv. Newson 115, a probability Report. Unclassified Titles. Mat., 17

the measuring chamber, where a pair of photocells community senses the scattered light. The elecareal signal is amplified and displayed on an Esterline Angus recorder. One mode of operation is direct readout; another mode utilizes a bridge circuit and presents the output above a given threshold level. The latter system is for weaksignal detection. A sample chart record is shown in Fig. 9. This record was obtained during Chesapeake Bay tests with the SSX-1. The water was exceptionally clear during these tests, with a turbidity of about 1.5 ppm relative to silicon dioxide. Profile sampling showed a uniform distribution down to submarine depth, as measured by a Helige turbidimeter. A very small change in turbidity of about 0.05 ppm was caused by the passage of the submarine directly under the measuring platform. At other times in the Bay, turbidities of 15 ppm have been observed, and changes of several parts per million have occurred in the wake.

SIGNAL-PROCESSING TECHNIQUES AVAILABLE

Chart data, which have been reduced to punched tape for computer processing, will permit the signal processing necessary for the low signal-to-noise ratios often likely. As in acoustic processing, sensitivity of the sensor is not the problem; system and ambient noise are the factors that limit detection capability. With the computer tapes, the time and space noise factors can be determined, as well as noise plus signal statistics; then by knowing the system bandwidth and sample size detection and false-alarm probability can be computed.

Some useful comparisons can be made between the parameters of a flow system and conventional signal-processing parameters. In Fig. 10, a comparison is made between electrical time constant and rank-clearance time constant. In addition to a system delay of two minutes (time for water to reach the tank from the V-fin) for the fIACH, there is a clearance time of 1.5 minutes for a Le value. Figure 11 relates the time in wake to ship speed and track length. Fogether, these parameters determine the system resolution or capability of detecting a wake of given dimensions. In general, a fast-moving platform requires

a fact clearance time. If individual particles are considered as information bits, samplingations criteria must also be satisfied. This condition would or ur in a system designed to detect a characteristic particle such as a copper ion.

The importance of sample size is shown in Fig. 12. These data, obtained with an early model turbidimeter, illustrate the improvement gained by increased wake-sampling time.

An ideal classifier takes advantage of the unique and exclusive properties of the submarine. such as the ability to cause a magnetic anomaly. the emission of man-made noise, and characteristic size and shape. The latter characteristic is probably the simplest to utilize, but generally it requires the use of a short-range high-resolution sensor. It appears that trail detection may require a localization rapability (such as the LORELI technique), if classification is considered. The analysis of the trail up to the point of origin may well indicate features exclusive to the pressure of a submarine, when the energy transfer related to the submarine is considered. In addition to the hydrodynamic effects, NRI, has explored the modifications to sea-water systems by low level acoustic energy approximating the level directly above a slow-speed, shallow submarine ** Laboratory research using small tanks has shown changes in surface tension, degasting of lowsolubility gases, and the solution of a soluble gas, COr. At the interface, sound energy stabilizes the thermal structure by molecular mixing. There is also some evidence of particle agglomeration and aggregate formation resulting from the irradiation of membrane-filtered sea water.

CONCLUSIONS

A 12-channel particle-size distribution analyzer has recently been produced for the NRI research program. With this the redistribution of particulate material caused by a transiting submarine can be quantified, and thereby an improved turbidimetric system can be designed.

^{*}A J. Biller. Some Explorators Investigations on the Internacion of States. States and Appendix Mixtures as Betared to State of Files. SRI. Minus. Reps. 1284 of confidential Report. Confidential Dispose. London 1862.

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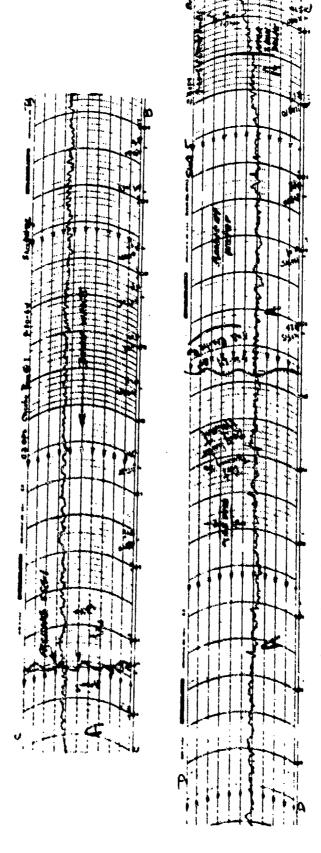


Fig. 9 - Record of HACH turbadimeter made in Chesapeake Bay during SSX-1 submarine test runs

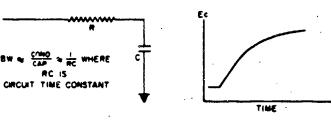
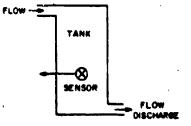
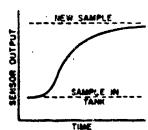


Fig. 10 - Comparison of electrical time constant to tank-clearance time constant





FLOW SYSTEM CANDWITH α FLOW RATE α $\frac{1}{V_{ANN}}$ WHERE τ_{c} - CLEARANCE TIME CONSTANT

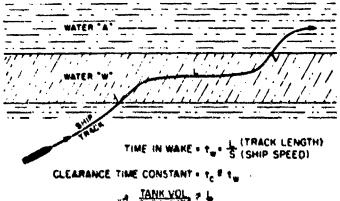
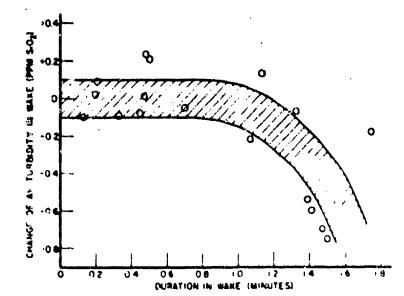


Fig. 11 - Flow-system residution



ting 18 - Limbelis in surface-ship wakes, BLCK & ISLAND tests, July-Aug. 1962.

the use of more sophisticated instruments* and stendard the accumulation of data in strategic areas concerning horizontal and vertical distributions of the sea components. The integration of oceanographic instruments must be integrated into a trail-detection system and the performance of these systems must be evaluated using submarine targets in areas of interest. The results must be correlated with meteorological and hydro-

"The use of a sophisticated instrument such as the Caulter Caunter particle-size-distribution analyzer has suggested a more basic approach to reverberation theory. Using the particle data with Rayleigh scattering theory, reverberation-index computations may reveal hereinfore unsuspected factors in volume reverberations. Research is continuing to identify the particles and their relations to the overall ocean complex it is also suggested that acoustic systems may be useful to sense environmental changes by placing emphasis on reverberation analysis.

togical data in order to establish predictions is to when such systems can be used to an advantage.

ACKNOWLEDGMENTS

The author expresses his gratitude to the many members of the Techniques Branch who have assisted in the field operations and in the collection of data vital to the concepts and basic considerations set forth in this report.

The services of the men operating the submarine, small boats, aircraft, and the research ship JAMES GILLISS (AGOR 4) are sincerely appreciated. The support given by the U.S. Nass Oceanographic Office field oceanographers was also of mestimable value in obtaining the depthprofile data, which are the foundation for the more recent work on particle studies.

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11. SUPPLEMENTARY NOTES 12 SPONSORING MILITARY ACTIVITY				

13 ABSTRACT

The transit of a submerged submarine subjects the ocean environment to many subtle changes. During the past several years specialized in situ oceanographic instrumentation has been designed to sense these physical, chemical, and biological modifications of the ocean. The concept of interdisciplinary research, aimed toward obtaining a broad spectrum of knowledge prior to hardware development, is considered the key to future systems reality. Laboratory research results show the many facets of the problem and the general usefulness of the ocean as a ready-made complex easily changed by a transiting submarine. The submarine-generated energy transfers, acoustic and hydrodynamic, are detectable by simple optical instruments. The results of several field operations have demonstrated the performance of turbidimetric and colorimetric sensors used for wake sensing of a submerged submarine during recent field operations in the Florida Straits. Emphasis on submarine detection and classification systems suggests useful comparisons to conventional acoustic signal processing, where the ocean-environment noise for a given parameter, such as turbidity, is treated in terms of bandwidth, sampling time, resolution, detection, false-alarm probability, and signal-to-noise ratio.

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